

FORWARD WORK PROGRAMME - Audit Committee**Audit Committee 2017/18**

Subject area and brief description of nature of report	Lead Department	Responsible Officer				Sep-18
			Dec-17	Mar-18	Jul-18	
Appointment of Audit Committee: <ul style="list-style-type: none"> Chair Vice Chair 	Corporate Services	Audit Committee			✓	
Annual Audit Report	Corporate Services	Head of Revenues and Financial Compliance			✓	
Forward Work Programme	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓
Internal Audit Plan Update <ul style="list-style-type: none"> To receive the progress report To receive the Scoring Matrix for finalised reviews 	Corporate Services	Head of Revenues and Financial Compliance	✓	✓	✓	✓
		Head of Revenues and Financial Compliance				
Internal Audit indicative three year plan	Corporate Services	Head of Revenues and Financial Compliance		✓		
Assurance Reviews: <ul style="list-style-type: none"> Fundamental financial systems 3* reports 	Corporate Services	Head of Revenues and Financial Compliance	As required			
		Head of Revenues and Financial Compliance				
Progress reports as requested by Audit Committee <ul style="list-style-type: none"> Supporting People Museums Coastal facilities 	Communities	Safeguarding & Commissioning Manager	✓			
		Head of Leisure	✓			
		Head of Leisure		✓		
Approval of Audit Charter	Corporate Services	Head of Revenues and Financial Compliance		✓		
Approval of Contract & Quotation Rules	Corporate Services	Head of Revenues and Financial Compliance	As required			
Approval of Audit Strategy	Corporate Services	Head of Revenues and Financial Compliance				

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Approval of Financial Procedure Rules	Corporate Services	Head of Revenues and Financial Compliance				
Approval of Risk and Business Continuity Strategy	Corporate Services	Head of Revenues and Financial Compliance		✓		
Approval of Anti-Fraud and Corruption Strategy	Corporate Services	Head of Revenues and Financial Compliance		✓		
Receive the Corporate Risk Register	Corporate Services	Head of Revenues and Financial Compliance		✓		
Statement of Accounts including Annual Governance Statement for Carmarthenshire CC & Dyfed Pension Fund <ul style="list-style-type: none"> To be received To be approved 	Corporate Services	Head of Financial Services			✓	✓
Burry Port Harbour Accounting Statement 2015-16 <ul style="list-style-type: none"> To be received To be approved 	Corporate Services	Head of Financial Services			✓	✓
Audit enquiries to those charged with governance and management for: <ul style="list-style-type: none"> Carmarthenshire CC Dyfed Pension Fund 	Corporate Services	Head of Financial Services				✓
Single Tender Action	Corporate Services	Director of Corporate Services	As required			
Minutes for noting: <ul style="list-style-type: none"> Grants Panel Corporate Governance Group Risk Management Steering Group 	Corporate Services	Head of Revenues and Financial Compliance				
		Head of Financial Services	✓	✓	✓	✓

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Wales Audit Office:	Corporate Services	Wales Audit Office				
• Audit Plan Update			✓	✓	✓	✓
• Annual Improvement Report					✓	
• Financial Statements – ISA260 Report presented to those charged with Governance)in relation to the Statement of Accounts for <ul style="list-style-type: none"> ○ Carmarthenshire CC ○ Dyfed Pension Fund 						✓
• Letter of Representation <ul style="list-style-type: none"> ○ Carmarthenshire CC ○ Dyfed Pension Fund 						✓
• Annual Audit Letter: <ul style="list-style-type: none"> ○ Carmarthenshire CC ○ Dyfed Pension Fund 			✓			
• Certification of Grants and Returns <ul style="list-style-type: none"> ○ 2016-17 				✓		
• Thematic Study: Well-being of Future Generations - Baseline assessment					✓	
• Thematic Study: Well-being of Future Generations -Scrutiny Review					✓	
• Thematic Study: Service User Review					✓	
• LG Improvement Study - Intermediate Care Fund						
• LG Improvement Study - Using Data Effectively						✓
• LG Improvement Study - How well do public bodies provide services to rural communities						✓
• Local Project work					✓	
• Auditor General's fees <ul style="list-style-type: none"> ○ Financial Audits: <ul style="list-style-type: none"> ▪ Carmarthenshire CC ▪ Dyfed Pension Fund ○ Performance Audit 					✓	